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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new, nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. **M 6401A-OS/PS**
 First Inventor **NATALE, Marcle**
 Title **STABILIZATION OF AQUEOUS EMULSION POLYMERS**
 Express Mail Label No. **EL544993224US**

See MPEP ch. 600 concerning utility patent application contents.

ADDRESS TO:
 Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

- ☒ **Fee Transmittal Form** (e.g., PTO/BB/17) (submit an original and a duplicate for the processing)
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☒ **Specification** [Total Pages **28**]
 (if referred arrangement and both below)
 Descriptive title of the invention
 Cross Reference to Related Applications
 Statement Regarding Fed sponsored R & D
 Reference to sequence listing, a table, or a computer program listing depends:
 Background of the invention
 Brief Summary of the invention
 Brief Description of the Drawings (if filed)
 Detailed Description
 Claim(s)
 Abstract of the Disclosure
- ☒ **Drawings** (35 U.S.C. 113) [Total Sheets **2**]
- ☐ **Oath or Declaration** [Total Pages **3**]
 a. ☒ Newly unexecuted (original or copy)
 b. ☐ Copy from a prior application (37 CFR 1.63 (d)) (for continuous/divisional with Box 18 completed)
 c. ☐ **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.53(b)
- ☐ **Application Data Sheet**. See 37 CFR 1.76

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- ☐ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)
 a. ☐ Computer Readable Form (CRF)
 b. ☐ Specification Sequence Listing on:
 i. ☐ CD-ROM or CD-R (2 copies); or
 ii. ☐ paper
 c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73 (b) Statement (when there is an assignee) ☒ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (for foreign priority is claimed)
- ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/BB/35 or its equivalent
- ☒ Other: **REQUEST TO CHANGE CORRESPONDENCE ADDRESS**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: **09/363,555**

Prior application information:

Examiner **K. Enom**

Group Art Unit: **1713**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is incorporated as part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

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or ☐ Correspondence address below

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Henry E. Millson, Jr.

Registration No. (Attorney/Agent)

18,980

Signature

Henry E. Millson, Jr.

Date

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Approved for use through 10/31/2002. OMB 0951-0002
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FEE TRANSMITTAL for FY 2001		Complete if Known	
Patent fees are subject to annual revision.		Application Number	
		Filing Date	
		First Named Inventor	NATALE, Marcie
		Examiner Name	
		Group/Art Unit	
TOTAL AMOUNT OF PAYMENT (8) 740		Attorney Docket No.	M 6401A-CS/PS
METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: 50-1177 Order No. 01-0709 Deposit Account Name: Cognis Corporation <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR § 1.10 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.37		3. ADDITIONAL FEES Large Entity Small Entity Fee Code (8) Code (8) Fee Code (8) Fee Code (8) 105 130 205 65 Surestage - late filing fee or oath 127 50 227 26 Surestage - late provisional filing fee or cover sheet 139 130 139 130 Non-English specification 147 2,520 147 2,520 For filing a request for ex parte reexamination 112 920* 112 920* Requesting publication of BRI prior to Examiner action 113 1,840* 113 1,840* Requesting publication of BRI after Examiner action 115 110 215 55 Extension for reply within first month 116 380 216 195 Extension for reply within second month 117 880 217 445 Extension for reply within third month 118 1,390 218 695 Extension for reply within fourth month 128 1,890 228 945 Extension for reply within fifth month 119 310 219 155 Notice of Appeal 120 310 220 155 Filing a brief in support of an appeal 121 270 221 135 Request for oral hearing 138 1,610 138 1,610 Petition to institute a public use proceeding 140 110 240 55 Petition to revive - unavoidable 141 1,240 241 620 Petition to revive - unintentional 142 1,240 242 620 Utility issue fee (or release) 143 440 243 220 Design issue fee 144 800 244 300 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 240 126 240 Submission of Information Disclosure Sheet 561 40 561 40 Recording each patent assignment per property (times number of properties) 146 710 246 355 Filing a submission after final rejection (37 CFR § 1.126(a)) 149 710 249 355 For each additional invention to be examined (37 CFR § 1.126(b)) 179 710 279 355 Request for Continued Examination (RCE) 169 900 169 900 Request for expedited examination of a design application Other fee (specify) _____ Other fee (specify) _____ * Reduced by Basic Filing Fee Paid	
2. <input type="checkbox"/> Payment Enclosed <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		SUBTOTAL (1) (\$) 740.00	
FEE CALCULATION		SUBTOTAL (2) (\$) .00	
1. BASIC FILING FEE Large Entity Small Entity Fee Code (8) Fee Code (8) Fee Description Fee Paid 101 740 201 370 Utility filing fee \$740.00 106 330 206 165 Design filing fee 107 510 207 255 Plant filing fee 108 740 208 370 Release filing fee 114 160 214 80 Provisional filing fee SUBTOTAL (1) (\$) 740.00		2. EXTRA CLAIM FEES Extra Claims Fee from below Fee Paid Total Claims 18 - 20* 0 X \$18.00 = .00 Independent Claims 2 - 3** 0 X \$84.00 = .00 Multiple Dependent \$280.00 = .00 *For num. or previously paid, if greater; For Release, see below Large Entity Small Entity Fee Code (8) Fee Code (8) Fee Description Fee Paid 103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claim, if not paid 106 84 206 42 **Release independent claims over original patent 110 18 210 9 **Release claims in excess of 20 and over original patent SUBTOTAL (2) (\$) .00 *For num. previously paid, if greater; For Release, see above	
SUBMITTED BY Name (Print/Type) Henry E. Millson, Jr. Registration No. (Attorney/Agent) 18,980 Signature Henry E. Millson, Jr. Date 11/07/01		Complete (if applicable) Telephone (928) 445-2453 Date 11/07/01	

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